



UNITED STATES PATENT AND TRADEMARK OFFICE

1963-5006

R. Martinelli

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/748,888	12/27/2000	David P. Greene	YOR9-2000-0304 (1963-5006)

CONFIRMATION NO. 5706

FORMALITIES LETTER



OC000000005889346

Mark J. Abate
Morgan & Finnegan, L.L.P.
345 Park Avenue
New York, NY 10154

Date Mailed: 03/22/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Docket No. YOR-2000-0304 (1963-5006)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : David P. Greene et al.
Serial No : 09/748,888
Filed : December 27, 2000
For : **SYSTEM AND METHOD FOR "SWAPS" STYLE RISK
PRODUCTS BASED ON NETWORK ENABLED AGGREGATION**

Commissioner of Patents
Washington, D.C. 20231
Attn: Customer Service Center
Initial Patent Examination Division

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

Sir:

In response to the Notice to File Corrected Application Papers, Filing Date Granted, mailed March 22, 2001, applicants enclose herewith 11 sheets of replacement formal drawings (Figs. 1-3C) for the above-identified application which was filed on December 27, 2000.

Applicants believe that no fee is due with this Response. However, in the event that a fee is found to be due, the Commissioner is authorized to charge such fee(s) to Deposit Account No. 13-4500, Order No. 1963-5006. A DUPLICATE COPY OF THIS PAPER IS ATTACHED HERETO.

Respectfully submitted,

Dated: April 3, 2001

Mark J. Abate
Registration No. 32,527

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PATENT

Docket No. YOR9-2000-0304 (1963-5006)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : David P. Greene et al.
Serial No : 09/748,888
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CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

Commissioner of Patents
Washington, D.C. 20231
Attn: Customer Service Center
Initial Patent Examination Division

Sir:


I hereby certify that the attached papers

1. Response To Notice To File Corrected Application Papers (in duplicate)
(1 sheet);
2. 11 sheets of substituted drawings (Figs. 1-3C);
3. copy of Notice To File Corrected Application Papers; and
4. A return receipt postcard

(along with any paper(s) referred to as being attached or enclosed) and this Certificate of Mailing are
being deposited with the United States Postal Service on the date shown below with sufficient postage as
first-class mail in an envelope addressed to: Commissioner of Patents, Washington, D.C. 20231,
Attn: Customer Service Center, Initial Patent Examination Division.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: April 3, 2001

By: 
Mark J. Abate
Registration No. 32,527

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